

# Low Bidder

07-22-16A11:22 RCVD

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

CONTRACT NO:

12-0N6804

BID AMOUNT:

\$ 312,964.45

BID OPENING DATE: 07/19/2016

BIDDER'S NAME:

California Professional Engineering, Inc.

DBE GOAL FROM CONTRACT %:

9%

DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>:

31277

TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE)

5

TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE)

\$98,877.40

| BID<br>ITEM NO.                 | ITEM OF WORK AND DESCRIPTION OF<br>SERVICES TO BE SUBCONTRACTED OR<br>MATERIALS TO BE PROVIDED <sup>2</sup> | WORK CATEGORY<br>CODES <sup>3</sup> | NAME OF DBEs<br>(Must be certified on the date bids are<br>opened. Include Caltrans' certification no., DBE<br>address, and phone number. Show 2nd and<br>lower tier subcontractors) | AMOUNT<br>(\$) |
|---------------------------------|---|-------------------------------------|--|----------------|
| 1-PARTIAL,<br>6                 | LEAD COMPLIANCE PLAN<br>PREPARE WATER CONTROL PLAN  |                                     | ADRIAN UDBE SWPPP<br>#38334<br>5780 OLINDA RD<br>EL SOBRANTE, CA 94803<br>510-854-9402   | \$2,600        |
| 2,4,5,7,33,<br>35, PARTIAL 34,8 | INSTALLATION OF SIGNAL & LIGHTING   |                                     | CALIFORNIA PROFESSIONAL<br>ENGINEERING, INC.<br>#31277<br>929 OTTERBEIN AVENUE<br>LA PUENTE, CA 91748<br>626-816-1338  | \$216,087.05   |

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed  
Participation

\$218,687.05

70 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

Signature of Bidder

07/20/2016

Date

626-810-1338

(Area Code) Tel. No.

Van Nguyen

Person to Contact

(Please Type or Print)

## ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

Contract No. 12-0N6804

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE CONFIRMATION**  
DES-OE-0102.13 (NEW 05/2015)

Contract no.: 12-ON6804

Name of DBE business: California Professional Engineering, Inc.

Name of DBE representative: Van Nguyen

DBE certification number: 31277

Name of bidder: California Professional Engineering, Inc.

Name of prime contractor if different from the bidder:

Name of representative of bidder or prime contractor:

Van Nguyen

Date:

07/20/16

| Bid item number                      | Item of work and description of services to be subcontracted or materials to be provided <sup>1</sup> | Amount (\$)         |
|--------------------------------------|---|---------------------|
| <u>2,4,5,7, 33,34, 35, PARTIAL 8</u> | <u>CONSTRUCTION SIGNS, TRAFFIC CONTROL, JOB SITE MANAGEMENT</u>                                       | <u>\$216,087.05</u> |
| <u>1-PARTIAL, 6</u>                  | <u>LEAD COMPLIANCE PLAN, WPCP.</u>  | <u>\$2,600</u>      |
|                                      |   |                     |
|                                      |   |                     |
|                                      |   |                     |
|                                      |   |                     |
|                                      |   |                     |
|                                      |   |                     |

<sup>1</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

Total

\$218,687.05

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.

Signature of DBE's authorized representative:

Van Nguyen

Printed name of DBE's authorized representative:

President

Title of DBE's authorized representative:

07/20/2016

Date:

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Contract No. 12-ON6804

der 07-22-16 A11:23 RCVD

Bidder's Name: California Professional Engineering, Inc.

Contract No.: 12-0N6804

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: California Professional Engineering, Inc.  
 Contract No.: 12-ON6804

Page 2 of 3

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

| Name of DBE Solicited | Date of Initial Solicitation | Items of Work Offered | Follow Up Methods and Dates |
|-----------------------|------------------------------|-----------------------|-----------------------------|
| WE ARE DBE            |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |
|                       |                              |                       |                             |

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

| Items of Work | Provided Plans/<br>Specifications for Work Offered<br>Yes/No | Name of Selected<br>Firm | DBE or<br>Non-DBE | Name of Rejected Firm | Quote<br>(\$) | Price Difference<br>(\$) |
|---------------|--|--------------------------|-------------------|-----------------------|---------------|--------------------------|
| WE ARE DBE    | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |
|               | <input type="checkbox"/> YES <input type="checkbox"/> NO     |                          |                   |                       |               |                          |

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.



STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: California Professional Engineering, Inc.

Contract No.: 12-0N6804

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4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

| Description of Outreach | Dates | Location (if applicable) | Results |
|-------------------------|-------|--------------------------|---------|
| WE ARE DBE              |       |                          |         |
|                         |       |                          |         |
|                         |       |                          |         |
|                         |       |                          |         |
|                         |       |                          |         |

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

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| WE ARE DBE |
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6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

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| WE ARE DBE |
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7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

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| WE ARE DBE |
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8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

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| WE ARE DBE |
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9. Include additional data to support a demonstration of good faith efforts.

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| WE ARE DBE |
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**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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# Low Bidder

# 12



**Caltrans®**

**STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION**

## **FORMS FOR BID**

**FOR CONSTRUCTION ON STATE HIGHWAY IN ORANGE COUNTY IN LA HABRA AND  
WHITTIER AT VALLEY HOME AVENUE**

**In District 12 On Route 72**

**Under**

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*Notice to Bidders and Special Provisions dated June 27, 2016*

*Standard Specifications dated 2010*

*Project plans approved May 2, 2016*

*Standard Plans dated 2010*

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**Applicable to**

**Electronic Bid book dated June 27, 2016**

**Identified by**

**Contract No. 12-0N6804**

**12-Ora-72-11.9**

**Project ID 1214000083**

**Federal-Aid Project**

**ACHSNHP-P072(003)E**

Bids open Tuesday, July 19, 2016

Dated June 27, 2016  
AADD